

The topological and geometrical finiteness of complete flat Lorentzian 3-manifolds with free fundamental groups (Preliminary)

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Abstract

- ▶ We prove the topological tameness of a 3-manifold with a free fundamental group admitting a complete flat Lorentzian metric; i.e., a **Margulis space-time** isomorphic to the quotient of the complete flat Lorentzian space by the free and properly discontinuous isometric action of the free group of rank ≥ 2 .
- ▶ We will use our particular point of view that a Margulis space-time is a **real projective manifold** in an essential way.
- ▶ The basic tools are a **bordification** by a closed \mathbb{RP}^2 -surface with a free holonomy group, the important work of Goldman, Labourie, and Margulis on geodesics in the Margulis space-times and the 3-manifold topology.
- ▶ Finally, we show that Margulis space-times are **geometrically finite** under our definition.
- ▶ **The tameness and many other results are also obtained independently by Jeff Danciger, Fanny Kassel and François Guéritaud.**

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Tame manifolds

- ▶ An open n -manifold can sometimes be compactified to a compact n -manifold with boundary. Then the open manifold is said to be *tame*.
- ▶ Brouwer, Levine, Livesay, and Sienbenmann [8] started this.
- ▶ For 3-manifolds, Tucker, Scott, and Meyers made progress.

▶ A nontame 3-manifold

essentially can be “simply” thought of as a union of an increasing sequence of compression bodies M_i so that each $M_i \rightarrow M_{i+1}$ is an imbedding by homotopy equivalence not isotopic to a homeomorphism. (Ohshika’s observation.)

- ▶ Hyperbolic 3-manifolds with finitely generated fundamental groups are shown to be tame by Bonahon, Agol and Calegari-Gabai. See Bowditch [7] for details.
- ▶ Earlier, geometrically finite hyperbolic 3-manifolds are shown to be tame by [Marden](#) (and [Thurston](#)). This is relevant to us.

- ▶ Let $V^{2,1}$ denote the vector space \mathbb{R}^3 with a Lorentzian norm of sign $1, 1, -1$, and
- ▶ the Lorentzian space-time $E^{2,1}$ can be thought of as the vector space with translation by any vector allowed.
- ▶ We will concern ourselves with only the subgroup $\text{Isom}^+(E^{2,1})$ of orientation-preserving isometries, isomorphic to $\mathbb{R}^3 \rtimes \text{SO}(2, 1)$ or

$$1 \rightarrow \mathbb{R}^3 \rightarrow \text{Isom}^+(E^{2,1}) \xrightarrow{\mathcal{L}} \text{SO}(2, 1) \rightarrow 1.$$

- ▶ $P(V^{2,1})$ is defined as the quotient space

$$V^{2,1} - \{O\} / \sim \text{ where } v \sim w \text{ if and only if } v = sw \text{ for } s \in \mathbb{R} - \{0\}.$$



The sphere of directions $\mathbb{S} := \mathbb{S}(V^{2,1})$ is defined as the quotient space

$$V^{2,1} - \{O\} / \sim \text{ where } v \sim w \text{ if and only if } v = sw \text{ for } s > 0,$$

and equals the double cover $\widehat{\mathbb{R}P^2}$ of $\mathbb{R}P^2$.

Our spherical view of $E^{2,1}$ and homogeneous coordinates

- ▶ The projective sphere $\mathbf{S}^3 := \mathbb{S}(\mathbb{R}^4 - \{O\})$ with coordinates t, x, y, z with projective automorphism group $\text{Aut}(\mathbf{S}^3)$ isomorphic to $\text{SL}_{\pm}(4, \mathbb{R})$.
- ▶ \mathbf{S}^3 double-covers the real projective space.
- ▶ The upper hemisphere given by $t > 0$ is identical with $[1, x, y, z]$ and is identified with $E^{2,1}$ with boundary \mathbb{S} .

▶

$$\text{Isom}^+(E^{2,1}) \subset \text{Aut}(\mathbf{S}^3).$$

- ▶ $\text{Isom}^+(E^{2,1})$ acts on \mathbb{S} by sending it by \mathcal{L} to $\text{Aut}(\mathbb{S})$.
- ▶ We map $E^{2,1}$ to a unit 3-ball in \mathbb{R}^3 by the map

$$[1, x, y, z] \rightarrow \frac{(x, y, z)}{\sqrt{1 + x^2 + y^2 + z^2}}.$$

- ▶ \mathbb{S} goes to the unit sphere $x^2 + y^2 + z^2 = 1$.

- ▶ The Lorentzian structure divides \mathbb{S} into three open domains \mathbb{S}_+ , \mathbb{S}_0 , \mathbb{S}_- separated by two conics $\text{bd}\mathbb{S}_+$ and $\text{bd}\mathbb{S}_-$.
- ▶ Recall that \mathbb{S}_+ of the space of future time-like vectors is the **Beltrami-Klein model** of the hyperbolic plane \mathbb{H}^2 where $\text{SO}(2, 1)$ acts as the orientation-preserving isometry group. Here the metric geodesics are precisely the projective geodesics and vice versa.
- ▶ The geodesics in \mathbb{S}_+ are straight arcs and $\text{bd}\mathbb{S}_+$ forms the ideal boundary of \mathbb{S}_+ .
- ▶ For a finitely generated discrete, non-elementary, subgroup Γ in $\text{SO}(2, 1)$, \mathbb{S}_+/Γ has a complete hyperbolic structure as well as a real projective structure with the compatible geodesic structure.
- ▶ Nonelementary Γ has no parabolics if and only if \mathbb{S}_+/Γ is a geometrically finite hyperbolic surface.

- ▶ Suppose that Γ is a finitely generated Lorentzian isometry group acting freely and properly on $E^{2,1}$. We assume that Γ is not amenable (i.e., not solvable). Then $E^{2,1}/\Gamma$ is said to be a *Margulis space-time*.
- ▶ Γ injects under \mathcal{L} to $\mathcal{L}(\Gamma)$ acting properly discontinuously and freely on \mathbb{S}_+ . By Mess [34], Γ must be a free group of rank ≥ 2 .
- ▶ Then \mathbb{S}_+/Γ is a complete genus \tilde{g} hyperbolic surface with b ideal boundary components.

Theorem A (Bordification by an $\mathbb{R}P^2$ -surface)

Let $\Gamma \subset \text{Isom}_+(E^{2,1})$ be a fg. free group of rank $g \geq 2$ acting on the hyperbolic 2-space \mathbb{H}^2 properly discontinuously and freely without any parabolic holonomy.

Then there exists a Γ -invariant open domain $\mathcal{D} \subset \mathbb{S}(V^{2,1})$ such that \mathcal{D}/Γ is a closed surface Σ with a real projective structure induced from \mathbb{S} unique up to the antipodal map \mathcal{A} . (The genus equals g .)

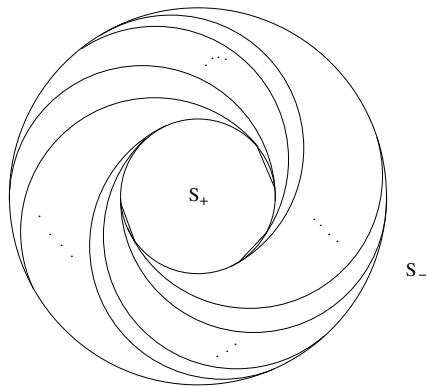


Figure: The domain \mathcal{D} covering Σ .

- ▶ These surfaces correspond to real projective structures on closed surfaces of genus g , $g \geq 2$, discovered by Goldman [26] in the late 1970s.
- ▶ The surface is a quotient of a domain in \mathbb{S} by a group of projective automorphisms.
- ▶ This is an $\mathbb{R}P^2$ -analog of the standard Schottky uniformization of a Riemann surface as a $\mathbb{C}P^1$ -manifold as observed by Goldman. There is an equivariant map shrinking all complementary intervals to points.

We obtain a *handlebody* is a 3-dimensional manifold from a 3-ball B^3 by attaching 1-handles.

Theorem B (Compactification)

Let M be a Margulis space-time $E^{2,1}/\Gamma$ and $\mathcal{L}(\Gamma)$ has no parabolic element. Then M is homeomorphic to the interior of a solid handlebody of genus equal to the rank of Γ .

- └ Real projective surfaces: The proof of Theorem A.
- └ Convex decomposition of real projective surfaces

Convex decomposition of real projective surfaces

- ▶ A *properly convex* domain in \mathbb{RP}^2 is a bounded convex domain of an affine subspace in \mathbb{RP}^2 . A real projective surface is *properly convex* if it is a quotient of a properly convex domain in \mathbb{RP}^2 by a properly disc. and free action of a subgroup of $\mathrm{PGL}(3, \mathbb{R})$.
- ▶ A disjoint collection of simple closed geodesics c_1, \dots, c_m *decomposes* a real projective surface S into subsurfaces S_1, \dots, S_n if each S_i is the closure of a component of $S - \bigcup_{j=1, \dots, m} c_j$. We do not allow a curve c_j to have two one-sided neighborhoods in only one S_j for some i .

Theorem 3.1 ([13])

Let Σ be a closed orientable real projective surface with principal geodesic or empty boundary and $\chi(\Sigma) < 0$.

Then Σ has a collection of disjoint simple closed principal geodesics decomposing Σ into properly convex real projective surfaces with principal geodesic boundary and of negative Euler characteristic and/or π -annuli with principal geodesic boundary.

- └ Real projective surfaces: The proof of Theorem A.
- └ Convex decomposition of real projective surfaces

Null half-planes

- ▶ Let \mathcal{N} denote the *nullcone* in $V^{2,1}$.
- ▶ If $v \in \mathcal{N} - \{O\}$, then its orthogonal complement v^\perp is a *null plane* which contains $\mathbb{R}v$, which separates v^\perp into **two half-planes**.
- ▶ Since $v \in \mathcal{N}$, its direction lies in either $\text{bd}\mathbb{S}_+$ or $\text{bd}\mathbb{S}_-$. Choose an arbitrary element u of \mathbb{S}_+ or \mathbb{S}_- respectively, so that the directions of v and u both lie in the **same** $\text{Cl}(\mathbb{S}_+)$ or $\text{Cl}(\mathbb{S}_-)$ respectively.
- ▶ Define the **null half-plane** $\mathscr{W}(v)$ (or the *wing*) associated to v as:

$$\mathscr{W}(v) := \{w \in v^\perp \mid \text{Det}(v, w, u) > 0\}.$$

We will now let $\varepsilon([v]) := [\mathscr{W}(v)]$ for convenience.

- ▶ The map $[v] \mapsto \varepsilon(v)$ is an $\text{SO}(2, 1)$ -equivariant map

$$\text{bd}\mathbb{S}_+ \rightarrow \mathcal{S}$$

for the space \mathcal{S} of half-arcs of form $\varepsilon(v)$ for $v \in \text{bd}\mathbb{S}_+$.

- └ Real projective surfaces: The proof of Theorem A.
- └ Convex decomposition of real projective surfaces

- ▶ The arcs $\varepsilon([v])$ for $v \in \text{bd}\mathbb{S}_+$ foliate \mathbb{S}_0 . Let us call the foliation \mathcal{F} .
- ▶ Hence \mathbb{S}_0 has a $\text{SO}(2, 1)$ -equivariant quotient map

$$\Pi : \mathbb{S}_0 \rightarrow \mathbb{P}(\mathcal{N} - \{O\}) \cong \mathbf{S}^1$$

where $\varepsilon([v]) = \Pi^{-1}([v])$
for each $v \in \mathcal{N} - \{O\}$.

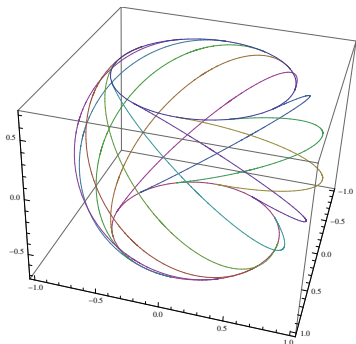
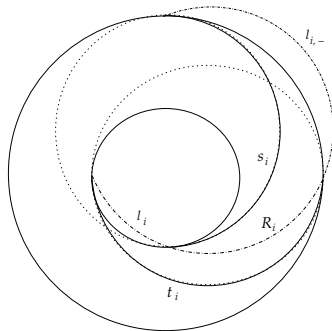


Figure: The tangent geodesics to disks \mathbb{S}_+ and \mathbb{S}_- in the unit sphere \mathbb{S} imbedded in \mathbb{R}^3 .

- ▶ \mathbb{S}_+/Γ is an open hyperbolic surface, compactified to Σ' by adding number of ideal boundary components.
- ▶ Σ' is covered by $\mathbb{S}_+ \cup \bigcup_{i \in \mathcal{J}} \mathbf{b}_i$ where \mathbf{b}_i are ideal open arcs in $\text{bd}\mathbb{S}_+$.
- ▶ Let $s_i = \varepsilon(p_i)$ and $t_i = \varepsilon(q_i)$. Then $l_i, s_i, t_i, l_{i,-}$ bound a *strip* invariant under $\langle \mathbf{g}_i \rangle$. We denote by \mathcal{R}_i the open strip union with l_i and $l_{i,-}$.



Proof of Theorem A

- ▶ We define $\mathcal{A}_i = \mathcal{R}_i \cap \mathbb{S}_0$ for $i \in \mathcal{J}$, which equals $\bigcup_{x \in \mathbf{b}_i} \varepsilon(x)$.
- ▶ We note that $\mathcal{A}_i \subset \mathcal{R}_i$ for each $i \in \mathcal{J}$.
- ▶ We finally define

$$\begin{aligned}
 \tilde{\Sigma} &= \tilde{\Sigma}'_+ \cup \prod_{i \in \mathcal{J}} \mathcal{R}_i \cup \tilde{\Sigma}'_- \\
 &= \tilde{\Sigma}'_+ \cup \prod_{i \in \mathcal{J}} \mathcal{A}_i \cup \tilde{\Sigma}'_- \\
 &= \Omega_+ \cup \prod_{i \in \mathcal{J}} \mathcal{R}_i \cup \Omega_- \tag{1}
 \end{aligned}$$

$$= \mathbb{S} - \bigcup_{x \in \Lambda} \text{Cl}(\varepsilon(x)). \tag{2}$$

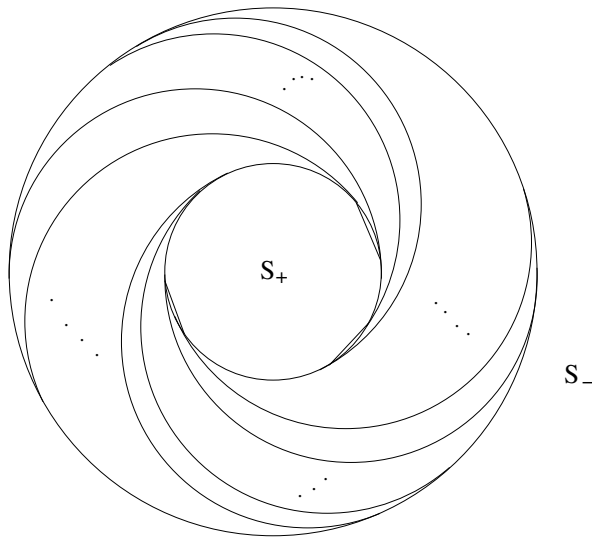
an open domain in \mathbb{S} where Λ is the limit set.

- ▶ Since the collection whose elements are of form \mathcal{R}_i mapped to itself by Γ , we showed that Γ acts on this open domain.

On complete flat Lorentzian 3-manifolds with free fundamental groups

└ Real projective surfaces: The proof of Theorem A.

└ Proof of Theorem A



Margulis invariants

- ▶ Given an element $g \in \Gamma - \{I\}$, let us denote by $v_+(g)$, $v_0(g)$, and $v_-(g)$ the eigenvectors of the linear part $\mathcal{L}(g)$ of g corresponding to eigenvalues > 1 , $= 1$, and < 1 respectively.
- ▶ $v_+(g)$ and $v_-(g)$ are null vectors and $v_0(g)$ is space-like and of unit norm. We choose so that $v_-(g) \times v_+(g) = v_0(g)$.
- ▶ We recall the Margulis invariant $\alpha : \Gamma - \{I\} \rightarrow \mathbb{R}$

$$\alpha(g) := \mathbf{B}(gx - x, v_0(g)) \text{ for } g \in \Gamma - \{I\}, x \in E^{2,1},$$

which is independent of the choice of x in $E^{2,1}$. (See [20] for details.)

- ▶ If Γ acts freely on $E^{2,1}$, then Margulis invariants of nonidentity elements are all positive or all negative by the [Opposite sign-lemma of Margulis](#).

Diffused Margulis invariants of Labourie

- ▶ By following the geodesics in Σ_+ , we obtain a so-called geodesic flow

$$\Phi : \mathbb{U}\Sigma_+ \times \mathbb{R} \rightarrow \mathbb{U}\Sigma_+.$$

A *geodesic current* is a Borel probability measure on $\mathbb{U}(\mathbb{S}_+/\Gamma)$ invariant under the geodesic flow, supported on a union of weakly recurrent geodesics.

- ▶ Let $[u]$ denote the element of $H^1(\Gamma_0, \mathbb{V}^{2,1})$ given by Γ for the linear part Γ_0 of Γ .
- ▶ We extend the function

$$\mathcal{C}_{\text{per}}(\Sigma_+) \rightarrow \mathbb{R} \text{ by } \mu_\gamma \mapsto \frac{\alpha(\gamma)}{\mathbb{L}_+(\gamma)}.$$

to the diffused one $\Phi_{[u]} : \mathcal{C}(\mathbb{S}_+/\Gamma) \rightarrow \mathbb{R}_{\geq 0}$.

- ▶ $\Gamma = \Gamma_{0,[u]}$ acts properly if and only if $\Phi_{[u]}(\mu) > 0$ for all $\mu \in \mathcal{C}(\Sigma) - \{O\}$ (or $\Phi_{[u]}(\mu) < 0$) [30]

Neutralized sections

- ▶ They in [30] (following Fried) constructed a **flat affine bundle \mathbf{E}** over the **unit tangent bundle $\mathbb{U}\Sigma_+$** of Σ_+ by forming $\mathbb{E}^{2,1} \times \mathbb{U}\Sigma_+$ and taking the quotient by the diagonal action $\gamma(x, v) = (h(\gamma)(x), \gamma(v))$ for a deck transformation γ of the cover $\mathbb{U}\Sigma_+$ of $\mathbb{U}\Sigma_+$ where

$$h : \Gamma \rightarrow \text{Isom}^+(\mathbb{E}^{2,1}) \subset \text{Aut}(\mathbf{S}^3)$$

is the inclusion map.

- ▶ The cover of \mathbf{E} is denoted by $\hat{\mathbf{E}}$ and is identical with $\mathbb{E}^{2,1} \times \mathbb{U}\Sigma_+$. We denote by

$$\pi_{\mathbb{E}^{2,1}} : \hat{\mathbf{E}} = \mathbb{E}^{2,1} \times \mathbb{U}\Sigma_+ \rightarrow \mathbb{E}^{2,1}$$

the projection.

- ▶ We define \mathbf{V} as the quotient of $\mathbb{V}^{2,1} \times \mathbb{U}\Sigma_+$ by the linear action of Γ and the action of $\mathbb{U}\Sigma_+$.

Neutralized sections

- ▶ A *neutral section* of \mathbf{V} is an $\mathrm{SO}(2, 1)$ -invariant section which is parallel along geodesic flow of $\mathbb{U}\Sigma_+$.
- ▶ A neutral section $\nu : \mathbb{U}\Sigma_+ \rightarrow \mathbf{V}$ arises from a graph of the $\mathrm{SO}(2, 1)$ -invariant map

$$\tilde{\nu} : \mathbb{U}\mathbb{S}_+ \rightarrow \mathbf{V}^{2,1}$$

with the image in the space of unit space-like vectors in $\mathbf{V}^{2,1}$:

- ▶ $\tilde{\nu}$ is defined by sending a unit vector u in $\mathbb{U}\mathbb{S}_+$ to the **normalization of $\rho(u) \times \alpha(u)$** of the null vectors $\rho(u)$ and $\alpha(u)$ with directions the the start point and the end point in $\mathrm{bd}\mathbb{S}_+$ of the geodesic tangent to u in \mathbb{S}_+ .

- └ The work of Goldman, Labourie and Margulis
- └ Diffused Margulis invariants and neutral sections

Let $\mathbb{U}_{\text{rec}}\Sigma_+ \subset \mathbb{U}\Sigma_+$ denote the unit vectors tangent to weakly recurrent geodesics of Σ .

Lemma 4.1 ([30])

Let Σ_+ be as above. Then

- ▶ $\mathbb{U}_{\text{rec}}\Sigma_+ \subset \mathbb{U}\Sigma_+$ is a *connected compact geodesic flow invariant set* and is a subset of the compact set $\mathbb{U}\Sigma_+''$.
- ▶ The inverse image $\mathbb{U}_{\text{rec}}\mathbb{S}_+$ of $\mathbb{U}_{\text{rec}}\Sigma_+$ in $\mathbb{U}_{\text{rec}}\mathbb{S}_+$ is precisely the set of unit vectors tangent to geodesics with both endpoints in Λ .

- ▶ The above conjugates the geodesic flow ϕ_t on Σ_+ with one Φ_t in $E^{2,1}$ where each geodesic with direction \vec{u} at p goes to a geodesic in the direction of $\nu(\vec{u})$.
- ▶ We find the section $\tilde{\mathcal{N}} : \mathbb{U}_{\text{rec}}\mathbb{S}_+ \rightarrow \hat{\mathbf{E}}$ lifting \mathcal{N} satisfying

$$\tilde{\mathcal{N}} \circ \phi_t = \Phi_{t'} \circ \tilde{\mathcal{N}} \text{ and } \tilde{\mathcal{N}} \circ \gamma = \gamma \circ \tilde{\mathcal{N}} \quad (3)$$

for each deck transformation γ of $\mathbb{U}\mathbb{S}_+ \rightarrow \mathbb{U}\Sigma_+$.

▶ Proposition 4.2

The lift of the neutralized section $\tilde{\mathcal{N}}$ induces a continuous function

$\mathcal{N} : \mathcal{G}_{\text{rec}}\mathbb{S}_+ \rightarrow \mathcal{G}_{\text{rec}}E^{2,1}$ where

- ▶ *if the oriented geodesic l in \mathbb{S}_+ is g -invariant for $g \in \Gamma$, then g acts on the space-like geodesic L_g the image under \mathcal{N} as a translation.*
- ▶ *the **convergent set** of elements of $\mathcal{G}_{\text{rec}}\mathbb{S}_+$ maps to a **convergent set** in $\mathcal{G}_{\text{rec}}E^{2,1}$.*
- ▶ *Finally, the map is surjective.*

Repeat: Our view of $E^{2,1}$ and coordinates

- ▶ The projective sphere $\mathbf{S}^3 = \mathbb{S}(\mathbb{R}^4 - \{O\})$ with coordinates t, x, y, z with projective automorphism group $\text{Aut}(\mathbf{S}^3)$ isomorphic to $SL_{\pm}(4, \mathbb{R})$.
- ▶ The upper hemisphere given by $t > 0$ is identical with $[1, x, y, z]$ and is identified with $E^{2,1}$ with boundary \mathbb{S} .
- ▶ $\text{Isom}^+(E^{2,1}) \subset \text{Aut}(\mathbf{S}^3)$.
- ▶ $\text{Isom}^+(E^{2,1})$ acts on \mathbb{S} by sending it by \mathcal{L} to $\text{Aut}(\mathbb{S})$.
- ▶ We map $E^{2,1}$ to a unit 3-ball by the map

$$[1, x, y, z] \rightarrow \frac{(x, y, z)}{\sqrt{1 + x^2 + y^2 + z^2}}.$$

A Lemma on projective automorphisms

Lemma 5.1

Let v_i^j for $j = 1, 2, 3, 4$ be four sequences points of \mathbf{S}^3 . Suppose that $v_i^j \rightarrow v_\infty^j$ for each j and mutually distinct independent points $v_\infty^1, \dots, v_\infty^4$. Then we can choose a sequence h_i of elements of $\text{Aut}(\mathbf{S}^3)$ so that

- ▶ $h_i(v_i^j) = e_j$,
- ▶ h_i is represented by uniformly convergent matrices and
- ▶ $h_i \rightarrow h_\infty$ uniformly for $h_\infty \in \text{Aut}(\mathbf{S}^3)$ under C^s -topology for every $s \geq 0$.

Projective boost automorphism

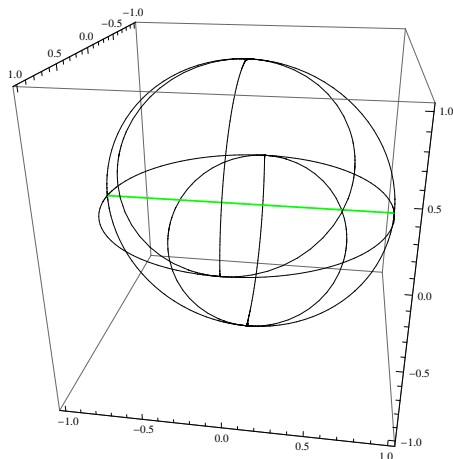
- ▶ A projective automorphism g that is of form

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \lambda & 0 & 0 \\ k & 0 & 1 & 0 \\ 0 & 0 & 0 & \frac{1}{\lambda} \end{bmatrix} \lambda > 1, k \neq 0 \quad (4)$$

under a homogeneous coordinate system of \mathbf{S}^3 is said to be a *projective boost automorphism*.

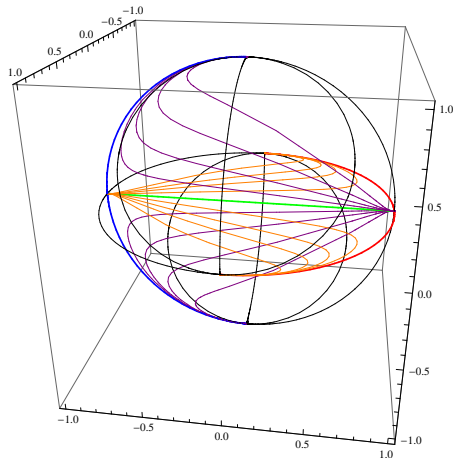
- ▶ In affine coordinates,

$$(x, y, z) \mapsto (\lambda x, y + k, \frac{1}{\lambda} z), x, y, z \in \mathbb{R}$$



The action of a Lorentzian isometry \hat{g} on the hemisphere \mathcal{H} where the boundary sphere \mathbb{S} is the unit sphere with center $(0, 0, 0)$ here.

- ▶ The arc on \mathbb{S} given by $y = 0$ is the invariant geodesic in \mathbb{S}_+ and with end points the fixed points of \hat{g} .
- ▶ The arc given by $x = 0$ and $z = 0$ is a line where \hat{g} acts as a translation in the positive y -axis direction for $\hat{g} \neq I$.



- ▶ The plane $z = 0$ is where \hat{g} acts as an expansion-translation (stable disk),
- ▶ the plane $x = 0$ is where \hat{g} acts as a contraction-translation (unstable disk).
- ▶ The semicircle defined by $y \geq 0$ and $z = 0$ is η^+ , “the attracting arc”.
- ▶ The semicircle defined by $x = 0$ and $y \leq 0$ is η^- , “the repelling arc”.

Lemma 5.2 (Central)

Let $g_{\lambda,k}$ denote the automorphism on \mathbf{S}^3 defined by the equation 4 for a homogeneous coordinate system with functions t, x, y, z in the given order and let \mathbb{S} given by $t = 0$, \mathbf{S}_0^2 given by $x = 0$, and \mathcal{H} given by $t > 0$. We assume that $k \geq 0, \lambda > 0$.

Then as $\lambda, k \rightarrow +\infty$ where $k/\lambda \rightarrow 0$, we obtain

- ▶ $g_{\lambda,k}|\mathbf{S}^3 - \mathbf{S}_0^2$ converges to a rational map Π_0 given by sending $[t, x, y, z]$ to $[0, \pm 1, 0, 0]$ where the sign depends on the sign of x/t if $t \neq 0$ and the sign of x if $t = 0$.
- ▶ $g_{\lambda,k}|(\mathbf{S}_0^2 \cap \mathcal{H}) - \eta_-$ converges in the compact open topology to a rational map Π_1 given by sending $[t, 0, y, z]$ to $[0, 0, 1, 0]$.
- ▶ For a properly convex compact set K in $\mathcal{H} - \eta_-$, the geometric limit of a subsequence of $\{g_{\lambda,k}(K)\}$ as $\lambda, k \rightarrow \infty$, is either a point $[0, 1, 0, 0]$ or $[0, -1, 0, 0]$ or the segment η_+ .

Proposition 5.3 (Properness of the action on the bordification)

Let Γ be a discrete group of orientation-preserving fg. Lorentzian isometries acting freely and properly discontinuously on $E^{2,1}$ isomorphic to a free group of finite rank ≥ 2 with $\tilde{\Sigma}$ as determined above. Assuming the positive diffused Margulis invariants:

Then Γ acts freely and **properly discontinuously** on $E^{2,1} \cup \tilde{\Sigma}$ as a group of projective automorphisms of \mathbf{S}^3 .

- Proof: Suppose that there exists a sequence $\{g_i\}$ of elements of Γ and a compact subset K of $E^{2,1} \cup \tilde{\Sigma}$ so that

$$g_i(K) \cap K \neq \emptyset \text{ for all } i. \quad (5)$$

- Recall that the Fuchsian Γ -action on the boundary $\text{bd}\mathbb{S}_+$ of the standard disk \mathbb{S}_+ in \mathbb{S} forms a discrete convergence group:

Choosing the coordinatization of each g_j .

- ▶ For every sequence g_j in Γ , there is a subsequence g_{j_k} and two (not necessarily distinct) points a, b in the circle $\text{bd}\mathbb{S}_+$ such that
 - ▶ the sequences $g_{j_k}(x) \rightarrow a$ locally uniformly in $\text{bd}\mathbb{S}_+ - \{b\}$.
 - ▶ $g_{j_k}^{-1}(y) \rightarrow b$ locally uniformly on $\text{bd}\mathbb{S}_+ - \{a\}$ respectively as $k \rightarrow \infty$. (See [1] for details.) We may assume $a \neq b$.
- ▶ We compute

$$\nu_i := \frac{\rho_i \times \alpha_i}{\|\rho_i \times \alpha_i\|}$$

- ▶ Since we have $\{a_i\} \rightarrow a$, we obtain that the sequence $\overline{a_i[\nu_i]a_i} = \text{Cl}(\varepsilon(a_i))$ converges to a segment $\overline{a[\nu]a} = \text{Cl}(\varepsilon(a))$ where $[\nu]$ is the direction of

$$\nu := \frac{\beta \times \alpha}{\|\beta \times \alpha\|}$$

for nonzero vectors α and β corresponding to a and b respectively.

- ▶ Since the geodesics with end points a_i, r_i pass the bounded part of the unit tangent bundle of \mathbb{S}_+ , it follows that L_{g_i} are convergent as well by Proposition 4.2.
- ▶ Each L_{g_i} pass a point p_i , and $\{p_i\}$ forms a convergent sequence in $E^{2,1}$. By choosing a subsequence, we assume wlg $p_i \rightarrow p_\infty$ for $p_\infty \in E^{2,1}$.

The coordinate changes so that g_i becomes one of form in equation 4 from a converging subsequence

- ▶ We now introduce $h_i \in \text{Aut}(\mathbf{S}^3)$ coordinatizing \mathbf{S}^3 for each i . We choose h_i so that

$$\begin{aligned} h_i(p_i) &= [1, 0, 0, 0], h_i(a_i) = [0, 1, 0, 0], \\ h_i(b_i) &= [0, 0, 0, 1], \text{ and } h_i([\nu_i]) = [0, 0, 1, 0]. \end{aligned} \tag{6}$$

- ▶ It follows that $\{h_i\}$ can be chosen so that $\{h_i\}$ converges to $h \in \text{Aut}(\mathbf{S}^3)$, a quasi-isometry h , uniformly in C^s -sense for any integer $s \geq 0$ by Lemma 5.1. Hence the sequence $\{h_i\}$ is *uniformly quasi-isometric* in $\mathbf{d}_{\mathbf{S}^3}$;

▶ Lemma 5.4

By conjugating g_i by h_i as defined above, we have

$$\lambda(g_i) \rightarrow +\infty, k(g_i) \rightarrow +\infty, \text{ and } \frac{k(g_i)}{\lambda(g_i)} \rightarrow 0. \tag{7}$$

The conclusion of the proof of Proposition 5.3.

- ▶ Let \mathbf{S}_i^0 denote the sphere containing the weak stable plane of g_i , and \mathbf{S}_i^+ the sphere containing the stable plane of g_i . The sequences of these both geometrically converge.
- ▶ Fix sufficiently small $\epsilon > 0$ and sufficiently large $i > l_0$, so that these objects are ϵ close to their limits (spherical metric)
- ▶ For the compact set K , we cover it by convex open balls $B_j, j = 1, \dots, K$, of two types: Ones that are at least ϵ away from \mathbf{S}_i^0 for $i > l_0$ and ones that are dumbel types with the two parts at least $\epsilon/2$ away from \mathbf{S}^0 for $i > l_0$.
- ▶ Then under g_i , the sequences of images of balls will converge to a or a_- and the sequences of images of the dumbels will converge to $\overline{a[\nu]a_-}$.
- ▶ The coordinate change by h_i will verify this.
- ▶ Thus, for every small compact ball B_j , we have $g_i(B_j) \cap B_k = \emptyset$ for $i > J^{j,k}$.
For $J = \max\{J^{j,k}\}_{j=1,\dots,K,k=1,\dots,K}$, we have $g_i(K) \cap K = \emptyset$ for $i > J$.

The proof of Tameness

- ▶ Thus, $\tilde{\Sigma}/\Gamma$ is a closed surface of genus g and the boundary of the 3-manifold $M := (E^{2,1} \cup \tilde{\Sigma})/\Gamma$ by Proposition 5.3. We now show that M is compact.

▶ Proposition 5.5

Each simple closed curve γ in $\tilde{\Sigma}$ bounds a simple disk in $E^{2,1} \cup \tilde{\Sigma}$. Let c be a simple closed curve in Σ that is homotopically trivial in M . Then c bounds an imbedded disk in M .

Proof.

This is just Dehn's lemma. □

A system of circles

- ▶ We can find a collection of disjoint simple curves γ_i , $i \in \mathcal{J}$, on $\tilde{\Sigma}$ for an index set \mathcal{J} so that the following hold:
 - ▶ $\bigcup_{i \in \mathcal{J}} \gamma_i$ is invariant under Γ .
 - ▶ $\bigcup_{i \in \mathcal{J}} \gamma_i$ cuts $\tilde{\Sigma}$ into a union of open pair-of-pants P_k , $k \in K$, for an index set K . The closure of each P_k is a closed pair-of-pants.
 - ▶ $\{P_k\}_{k \in K}$ is a Γ -invariant set.
 - ▶ Under the covering map $\pi : \tilde{\Sigma} \rightarrow \tilde{\Sigma}/\Gamma$, each γ_i for $i \in I$ maps to a simple closed curve in a one-to-one manner and each P_k for $k \in K$ maps to an open pair-of-pants as a homeomorphism.

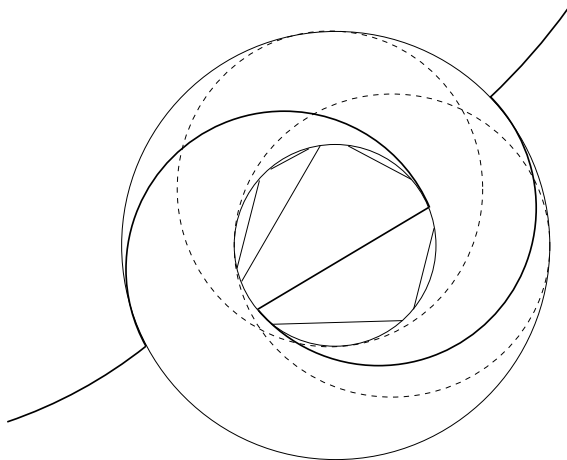


Figure: The arcs in \mathbb{S}_+ and an example of $\hat{\gamma}_i$ in the bold arcs.

Corollary 6.1

In $E^{2,1}$, there exists a Γ -invariant nonempty convex open domain \mathcal{D} whose boundary in $E^{2,1}$ is asymptotic to $\text{bd}D(\Lambda)$, homeomorphic to a circle. ($D(\Lambda)$ is the properly convex invariant set in \mathbb{S} containing Λ .) There exists another Γ -invariant convex open domain \mathcal{D}' whose boundary in $E^{2,1}$ is asymptotic to $\mathcal{A}(\text{bd}D(\Lambda))$ so that the closures of \mathcal{D} and \mathcal{D}' are disjoint. Moreover, every weakly recurrent space-like geodesic is contained in a manifold

$$(E^{2,1} - \mathcal{D} - \mathcal{D}')/\Gamma$$

with concave boundary.

Remark: Mess first obtained these invariant domains (see also Barbot [3] for proof).

Theorem 6.2

There exists a compact core in a Margulis space-time containing all weakly recurrent space-like geodesics.

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